

03-21-01

## Sector

102.170A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RADE  
In re Application of:  
YAOUANC et al  
Serial No.: 09/754,814  
Filed: January 4, 2001  
For: NOVEL...USES THEREOF

600 Third Avenue  
New York N.Y. 1001  
March 20, 2001.

**RESPONSE TO NOTICE OF MISSING PARTS**

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the notice of missing parts dated March 13, 2001, Applicants wish to call to the Examiner's attention that the filing of the application was completed on March 5, 2001 as can be seen by the copy of the return receipt card submitted herewith. Also enclosed is a copy of the notice of missing parts. Since the filing of the application is complete, it is requested that the same be forwarded to the group for examination in due course.

Respectfully submitted,  
Bierman, Muserlian and Lucas

Bv:

Charles A. Muserlian #19,683  
Attorney for Applicants  
Tel. # (212) 661-8000

CAM:ds  
Enclosures

OIP/E/JCWS

MAY 22 2001

RECEIVED



03-21-01

FILE COPY

Receipt  
3

102.170A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of :  
YAOUANC et al :  
Serial No.: 09/754,814 :  
Filed: January 4, 2001 :  
For: NOVEL...USES THEREOF :

APR 25 2001

TECH CENTER 1600/2900

600 Third Avenue  
New York N.Y. 10016  
March 20, 2001

REQUEST FOR CORRECTED FILING RECEIPT

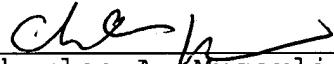
Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants are returning herewith the original filing receipt and request that the Patent Office issue a corrected filing receipt to show that the fourth inventor's last name is HERVE and not Harve.

Respectfully submitted,  
Bierman, Muserlian and Lucas

By:

  
Charles A. Muserlian #19,683  
Attorney for Applicants  
Tel.# (212) 661-8000

CAM:ds  
Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/754,814	01/04/2001	1614	908	102.170A	14	31	1

CONFIRMATION NO. 3475

FILING RECEIPT



\*OC000000005856144\*

Bierman, Muserlian and Lucas  
600 Third Avenue  
New York, NY 10016

RECEIVED  
MAR 16 2001

Date Mailed: 03/13/2001

**BIERMAN, MUSERLIAN AND LUCAS**

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jean-Jacques Yaouanc, Loc-Maria Plouzane, FRANCE;  
Erwann Guenin, Brest, FRANCE;  
Jean-Claude Clement, Coat-Meal, FRANCE;  
Anne-Cecile Harve, Plouezoc'h, FRANCE;  
Claude Ferec, Plougastel-Daoulas, FRANCE;  
Virginie Floch, Brest, FRANCE;  
Herve des Abbayes, Brest, FRANCE;

**Continuing Data as Claimed by Applicant**

THIS APPLN CLAIMS BENEFIT OF 60/175,342 01/10/2000

**Foreign Applications**

**If Required, Foreign Filing License Granted 03/12/2001**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Novel lipophilic compounds having affinity with nucleic acids and therapeutical uses thereof

**Preliminary Class**

514

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Data entry by : ASGEDOM, GENET

Team : OIPE

Date: 03/13/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

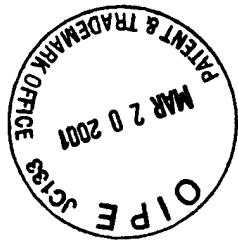
**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/754,814	01/04/2001	Jean-Jacques Yaouanc	102.170A

CONFIRMATION NO. 3475

FORMALITIES LETTER



\*OC000000005856145\*

Bierman, Muserlian and Lucas  
600 Third Avenue  
New York, NY 10016

Date Mailed: 03/13/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:

- o more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

*Jean-Jacques Yaouanc*

05/22/2001 SSANDARA 00000012 09754814  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE